



12/21/01

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Patent and Trademark Office: U.S. DEPARTMENT OF

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	NEL-0001	Total Pages	2
First Named Inventor or Application Identifier LESLIE P. NAGATA ET AL.			
Express Mail Label No.			

11051 U.S. PTO
12/21/01
10023649

APPLICATION ELEMENTS

See MPEP Chapter 900 concerning DESIGN/INVENTION patent application contents

ADDRESS
TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification (Total Pages 47)
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC § 113) [Total Sheets 11]
4. Oath or Declaration [Total Pages 3]
- a. ☒ Newly Executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- [Note Box 5 below]
- c. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application,
see 37 CFR 1.63(2) and 1.33(b).
5. ☐ Incorporation by Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identical of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & Documents(s))
9. ☐ 37 CFR 3.27(B) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application
Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
if foreign priority is claimed
16. ☒ Other. Sequence Listing Nos. 1-3 (13 pages)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

18. CORRESPONDENCE ADDRESS

23353

☒ Customer Number or Bar Code Label or ☒ Correspondence address below
(Insert Customer No. or Attach bar code label here)

NAME	RADER, FISHPAN & GRAUER, PLLC		
ADDRESS	1233 20th Street, Suite 501, N.W.,		
CITY	Washington	STATE	DC
COUNTRY	United States of America	PHONE	(202) 955-3750
		ZIP CODE	20036
		FAX	(202) 955-3751

PTO/SB/17 (12-99)

Approved for use through 6/30/2000. OMB 0651-0032

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Form PTO/SBO-09-12.

TOTAL AMOUNT OF PAYMENT (\$ 992.00)

Complete if Known

Application No. To be assigned
Filing Date Concurrently herewith
First Named Inventor LESLIE P. NAGATA ET AL.
Group Art Unit Unassigned
Examiner Name Unassigned
Attorney Docket Number NEL-0001

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 18-0013
Deposit Account Name RADER, FISHMAN & GRAUER PLLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed.

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
10F	740	201	370 Utility filing fee	740
10G	330	206	165 Design filing fee	
10H	510	207	255 Plant filing fee	
10I	740	208	370 Reissue filing fee	
11F	160	214	80 Provisional filing fee	

SUBTOTAL (1) (\$ 740)

2. EXTRA CLAIM FEES

Total Claims	Extra	Fee from below	Fee Paid
17	-20=	0	0
6	-3=	3	252
Multiple Dependent			0

*or number previously paid, if greater; For Reissues, see below.

Large Entity Fee Code	Entity Fee Code	Small Entity Fee Code	Entity Fee Code	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	380	216	190 Extension for reply within second month	
117	870	217	435 Extension for reply within third month	
118	1,360	218	680 Extension for reply within fourth month	
128	1,850	228	925 Extension for reply within fifth month	
119	300	219	150 Notice of Appeal	
120	300	220	150 Filing a brief in support of an appeal	
121	260	221	130 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,210	241	605 Petition to revive - unintentional	
142	1,210	242	605 Utility issue fee (or reissue)	
143	430	243	215 Design issue fee	
144	580	244	290 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Petitions related to provisional applications	
126	240	126	240 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	790	246	395 Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249	395 For each additional invention to be examined (37 CFR 1.129(b))	

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Typed or Printed Name David K. Benson

Signature 

Date December 21, 2001

Complete (if applicable)

Reg. No. 42,314

Deposit Account User ID 18-0013

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.